

CIP-2164,

PATENT

Attorney's Docket No. 38866/235737

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: May
Appl. No.: 09/879,372 Group Art Unit: 2164
Filed: June 11, 2001 Examiner: Not Yet Assigned
For: SYSTEMS AND METHODS FOR
REVERSE AUCTION OF FINANCIAL INSTRUMENTS

September 12, 2001

Commissioner for Patents
Washington, D.C. 20231

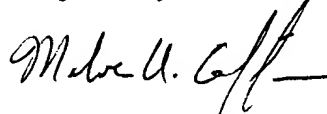
INFORMATION DISCLOSURE STATEMENT
CITATION UNDER 37 C.F.R. § 1.97

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Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

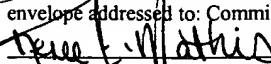


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CERTIFICATE OF MAILING

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Renee J. Mathis

ATL01/11047500v1

Substitute for form 1449A/PTO

**INFORMATION
DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document Number	Kind Code ² (if known)	Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
	1.	3,581,072		Nymeyer	05-25-1971	
	2.	4,674,044		Kalmus et al.	06-16-1987	
	3.	4,677,552		Sibley, Jr.	06-30-1987	
	4.	4,903,201		Wagner	02-20-1990	
	5.	4,953,085		Atkins	08-28-1990	
	6.	4,980,826		Wagner	12-25-1990	
	7.	5,003,473		Richards	03-26-1991	
	8.	5,077,665		Silverman et al.	12-31-1991	
	9.	5,101,353		Lupien et al.	03-31-1992	
	10.	5,126,936		Champion et al.	06-30-1992	
	11.	5,136,501		Silverman et al.	08-04-1992	
	12.	5,168,446		Wiseman	12-01-1992	
	13.	5,193,056		Boes	03-09-1993	
	14.	5,258,383		Nagamura et al.	11-02-1993	
	15.	5,258,908		Hartheimer et al.	11-02-1993	
	16.	5,270,922		Higgins	12-14-1993	
	17.	5,285,383		Lindsey et al.	02-08-1994	
	18.	5,297,031		Guterman et al.	03-22-1994	
	19.	5,375,055		Togher et al.	12-20-1994	
	20.	5,396,552		Jahn et al.	03-07-1995	

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

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Substitute for form 1449A/PTO				Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	09/879,372	
				Filing Date	June 11, 2001	
				First Named Inventor	R. Raymond May	
				Group Art Unit	2164	
				Examiner Name	Not Yet Assigned	
Sheet	2	Of	3	Attorney Docket Number	38866/235737	
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Examiner Initials*	Cite No. ¹	U.S. Patent Document Number	Kind Code ² (if known)	Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
	21.	5,446,885		Moore et al.	08-29-1995	
	22.	5,517,406		Harris et al.	05-14-1996	
	23.	5,557,517		Daughterty, III	09-17-1996	
	24.	5,594,639		Atsumi	01-14-1997	
	25.	5,630,127		Moore et al.	05-13-1997	
	26.	5,802,499		Sampson et al.	09-01-1998	
	27.	5,819,237		Garman	10-06-1998	
	28.	5,845,266		Lupien et al.	12-01-1998	
	29.	5,873,071		Ferstenberg et al.	12-16-1999	
	30.	5,905,974		Fraser et al.	05-18-1999	
	31.	5,915,209		Lawrence	06-22-1999	
	32.	5,924,082		Silverman et al.	07-13-1999	
	33.	5,924,083		Silverman et al.	07-13-1999	
	34.	5,940,810		Traub et al.	08-17-1999	
	35.	5,950,176		Keiser et al.	09-07-1999	
	36.	5,970,479		Shepherd	10-19-1999	
	37.	6,012,046		Lupien et al.	01-04-2000	
	38.	6,014,627		Togher et al.	01-11-2000	
	39.	6,014,643		Minton	01-11-2000	
	40.	6,098,051		Lupien et al.	08-01-2000	
	41.	6,134,600		Liu	10-17-2000	
Examiner Signature					Date Considered	

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¹ Unique citation designation number.

² See attached Kinds of U.S. Patent Documents.

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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
		Office ¹	Number ²	Kind Code (if known) ³				
	42.	EP	0 399 850	A2	Reuters Limited	11-28-1990		
	43.	EP	0 407 026	A2	Reuters Limited	01-09-1991		
	44.	EP	0 411 748	A2	Reuters Limited	02-06-1991		
	45.	WO	95 06918	A	MJT Holdings, Inc.	03-09-1995		
	46.	WO	96 05563	A	Reuters Limited	02-22-1996		
	47.	WO	96 34357	A	MJT Holdings, Inc.	10-31-1996		
	48.	WO	97 03409	A	Cedel Bank	01-30-1997		

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	49.	JEROME YEN, HO GEUN, LEE AND TUNG BUI; <i>Intelligent Clearinghouse: Electronic Marketplace with Computer-Mediated Negotiation Supports</i> ; Proceedings of the Twenty-Ninth Hawaii International Conference on System Sciences, Proceedings of HICSS-29; 29 th Hawaii International conference on System Sciences, Wailea, HI, US, January 3-6, 1996; pages 219-2217, vol. 3; XP002097382 ISBN 0-8186-7330-3, 1996; Los Alamitos, California, IEEE Computer Soc. Press.	

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¹ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

² For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

³ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁴ Applicant is to place an "X" here if English language Translation is attached.